

The role of big data analytics in the evolution of clinical trials

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MIRACUM Symposium

Erlangen, Germany

February 22-24, 2018

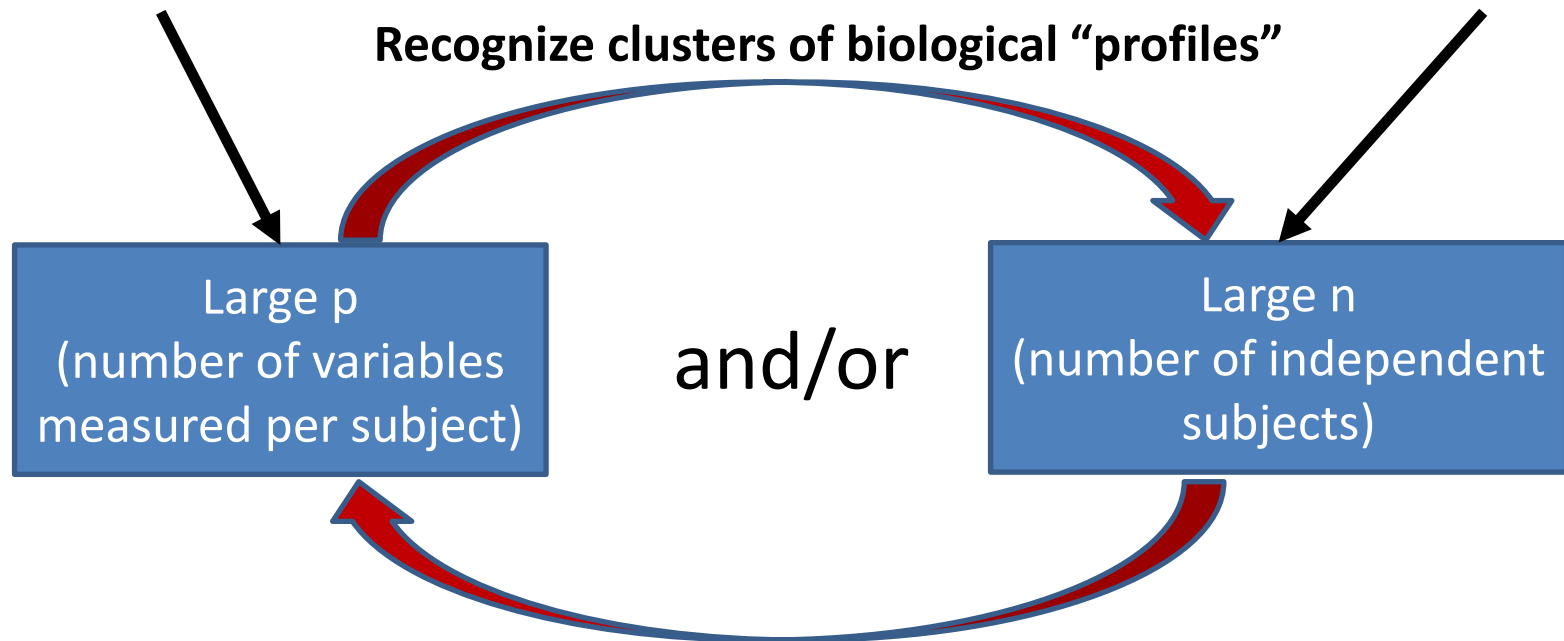
Precision (“personalized”) medicine transforms some diseases into a collection of rare diseases

New technologies
producing comprehensive
sets of measurements
(e.g., omics*)

BIG DATA

Increasing study size
and combining across
studies

Recognize clusters of biological “profiles”

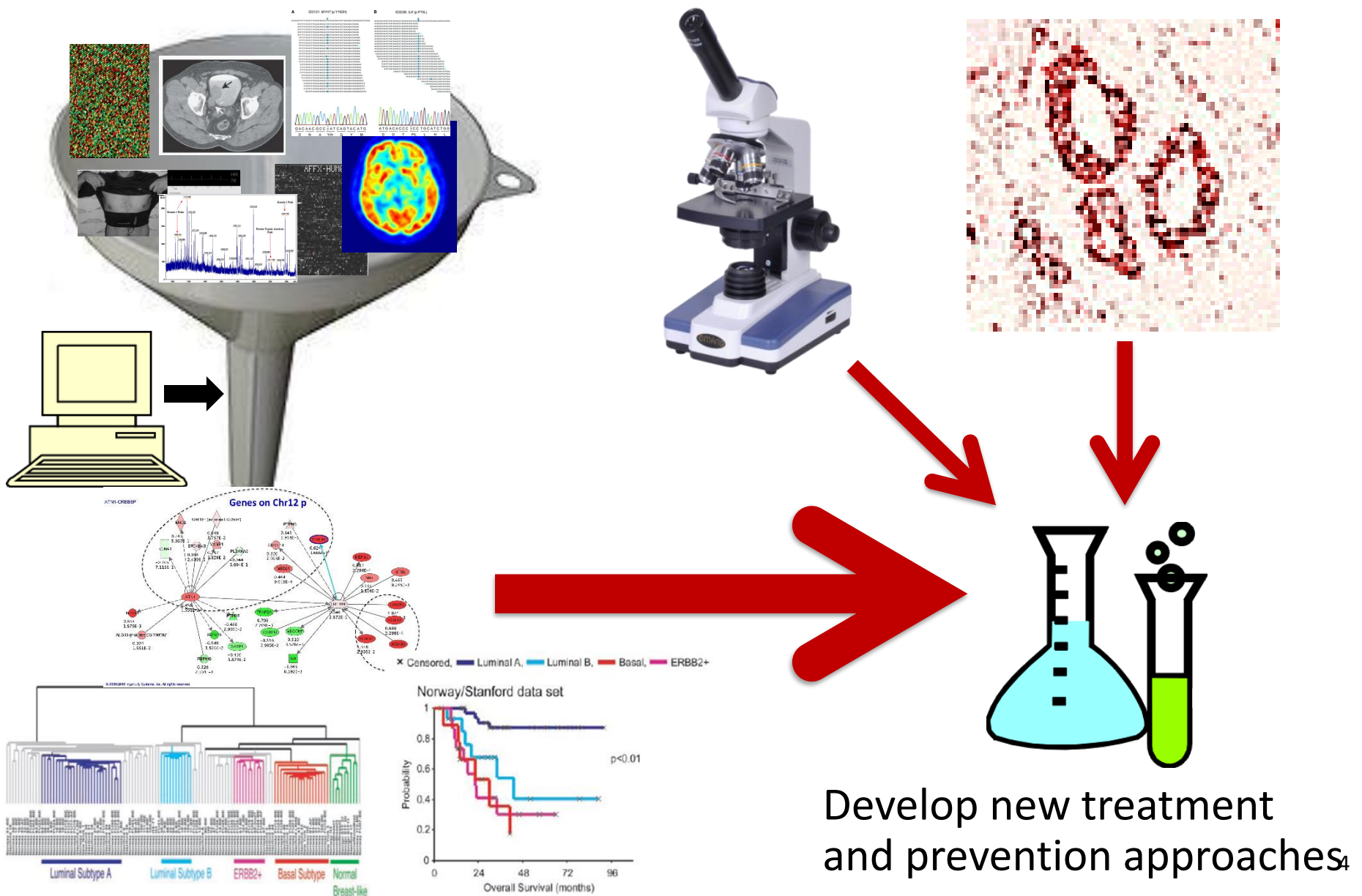


Recognize patient subgroups characterized by certain behaviors or outcomes

*A term encompassing multiple molecular disciplines, which involve the characterization of global sets of biological molecules such as DNAs, RNAs, proteins, and metabolites

<http://www.iom.edu/Reports/2012/Evolution-of-Translational-Omics.aspx>

Computational biology and prediction modeling to develop precision medicine approaches



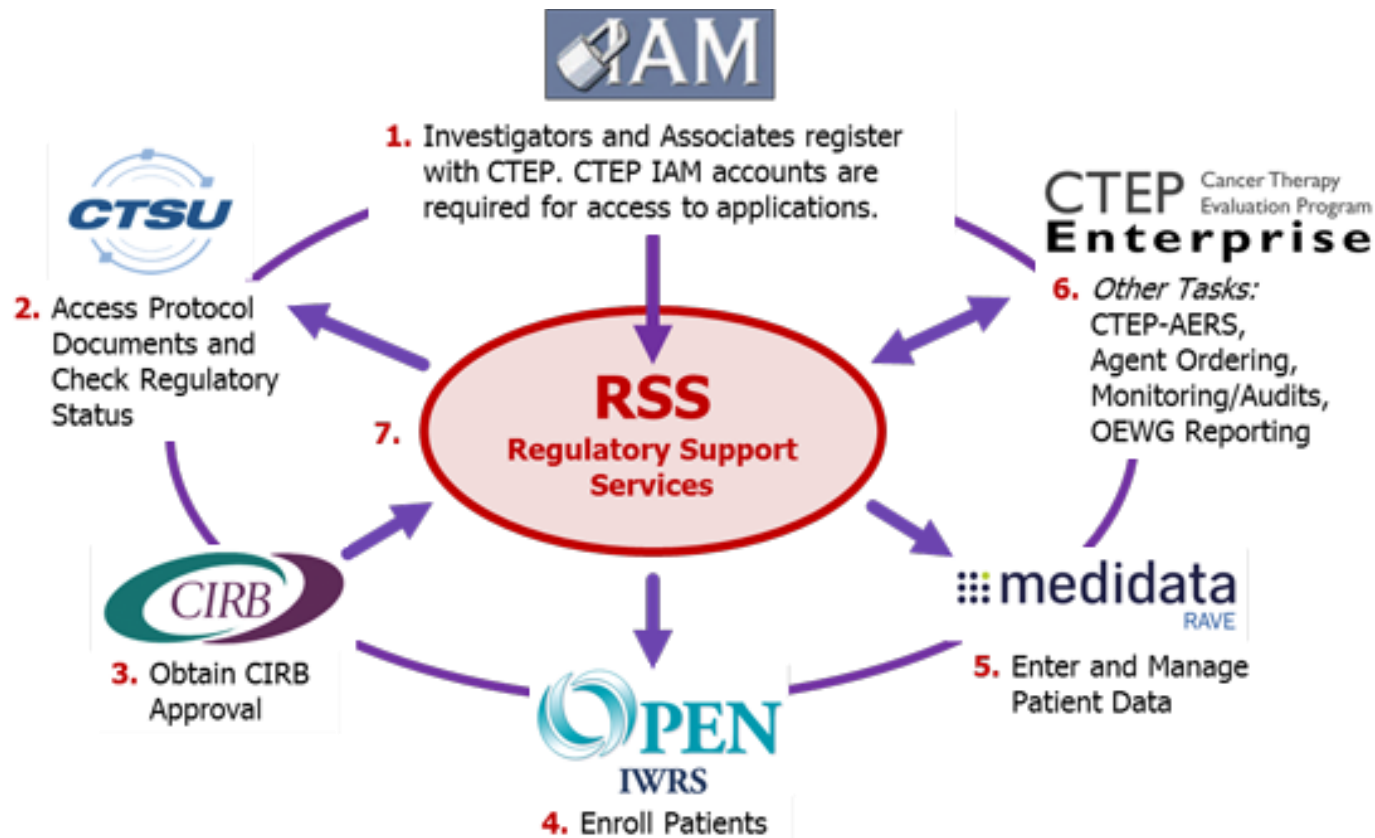
Big data have transformed oncology clinical trials

The U.S. National Cancer Institute experience

- Informatics-enabled NCI clinical trials networks
 - NCI Experimental Therapeutics Clinical Trials Network (ETCTN)
 - NCI National Clinical Trials Network (NCTN)
- Some major omics-based clinical trials involving NCI support
 - Next generation sequencing (large panels)
 - NCI Molecular Analysis for Therapy Choice (NCI-MATCH)
 - Lung-MAP
 - Omics “signatures” (multivariable prediction models or algorithms)
 - Oncotype DX – a success story
 - Cautionary note on common failures
- Exceptional Responder Initiative
- NCTN Data Archive
- NCI NCTN Specimen Navigator
- Summary remarks

U.S. National Cancer Institute Clinical Trials System

Informatics systems are critical to the re-designed NCI clinical trials enterprise

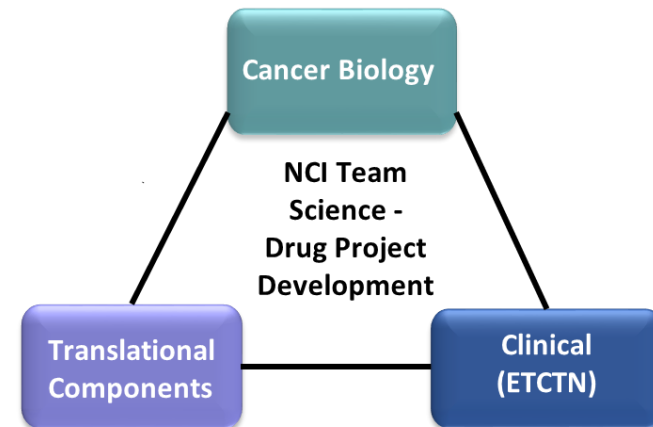
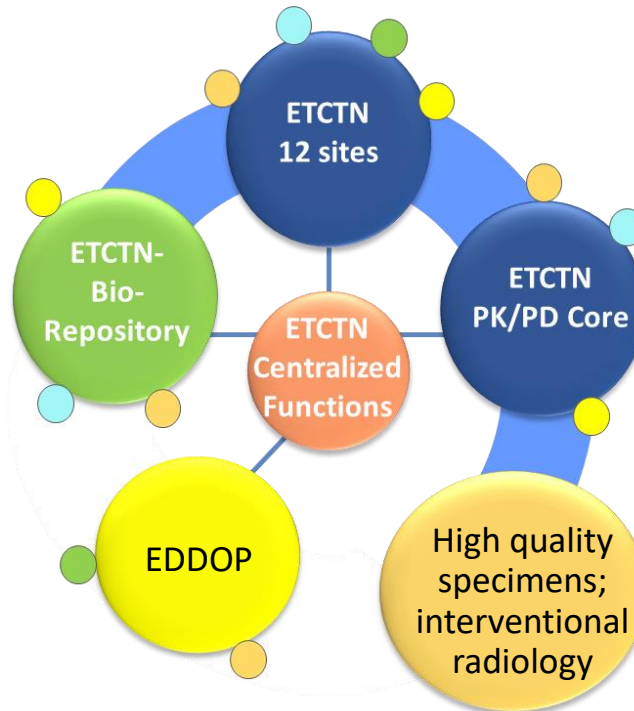


U.S. National Cancer Institute Clinical Trials System

NCI Experimental Therapeutics Clinical Trials Network (ETCTN)

Phase I and some phase II trials (often nonrandomized, fairly small)

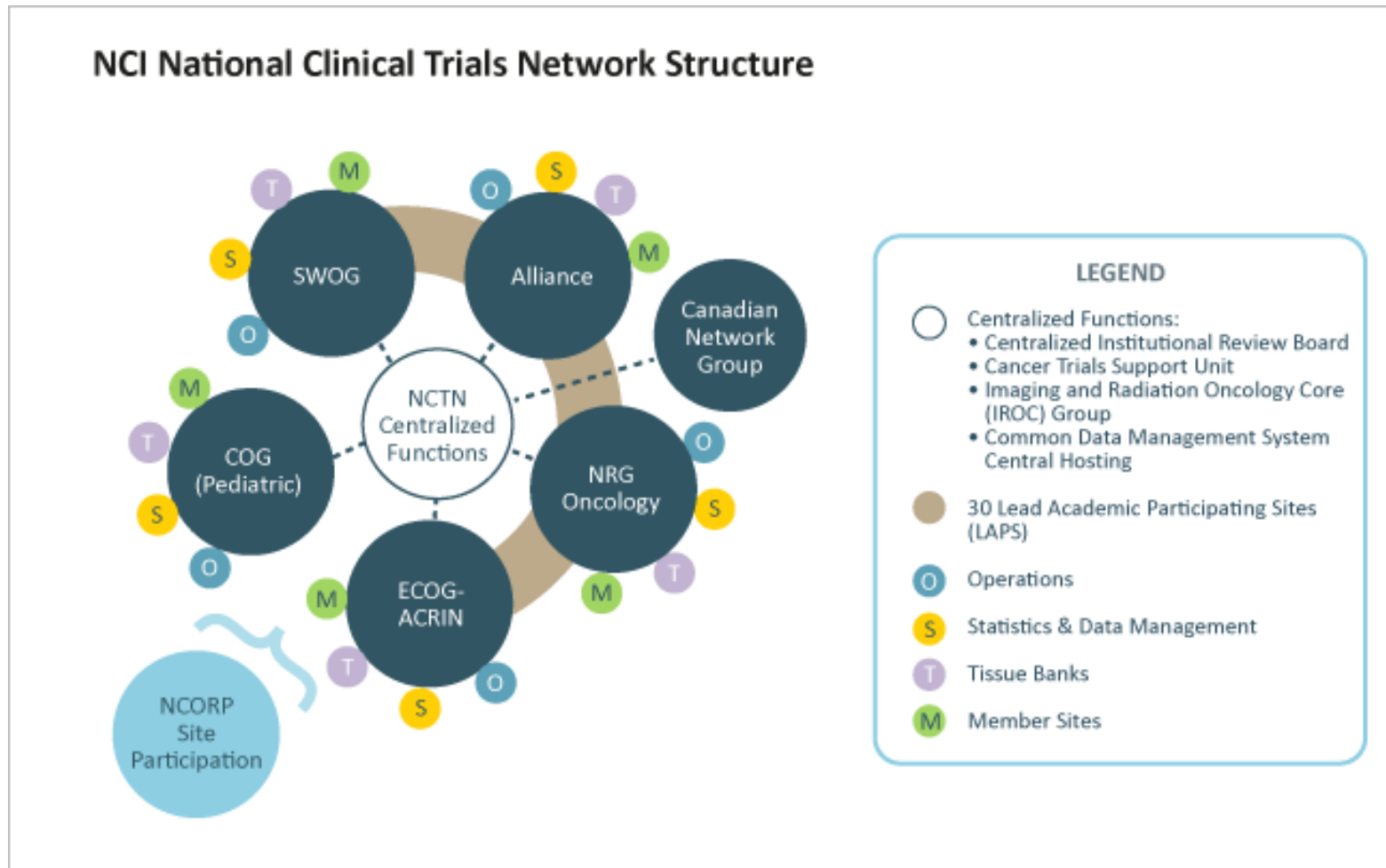
- Affiliated organizations
- Centralized functions for operational efficiencies & optimized use of scientific innovations
- 1 biorepository (U24)
- Early drug development opportunities programs in NCI CCs (EDDOP) for accrual and leadership



U.S. National Cancer Institute Clinical Trials System

NCI National Clinical Trials Network (NCTN)

Phase III and some phase II (mostly randomized, generally large)



NCI-MATCH trial

A multi-histology umbrella basket trial

Objectives

- To determine whether matching certain drugs or drug combinations in adults whose tumors have specific gene abnormalities will effectively treat their cancer, regardless of the cancer type
- **This is a signal-finding trial;** treatments that show promise can advance to larger, more definitive trials



NCI-MATCH trial

Led by ECOG-ACRIN for NCI National Clinical Trials Network (NCTN)

Overarching Screening Protocol

Step 0: Screening registration for new biopsy for patients with advanced cancers

Tumor testing using validated single NGS platform, with some immunohistochemistry (IHC)

Off study

NO

Tumor gene abnormality matching to a trial arm?

YES

Treatment assignment

Drug A

Drug B

Drug C

Drug D

Drug N

NGS Assay (version 1)

- 143 genes
 - 2530 amplicons in DNA panel
 - 207 amplicons in RNA panel

Patients who have advanced disease that progressed on at least one standard therapy or for which there is no known effective therapy. Master screening protocol directing to multiple biomarker-based mixed histology single arm phase II trial sub-protocols.

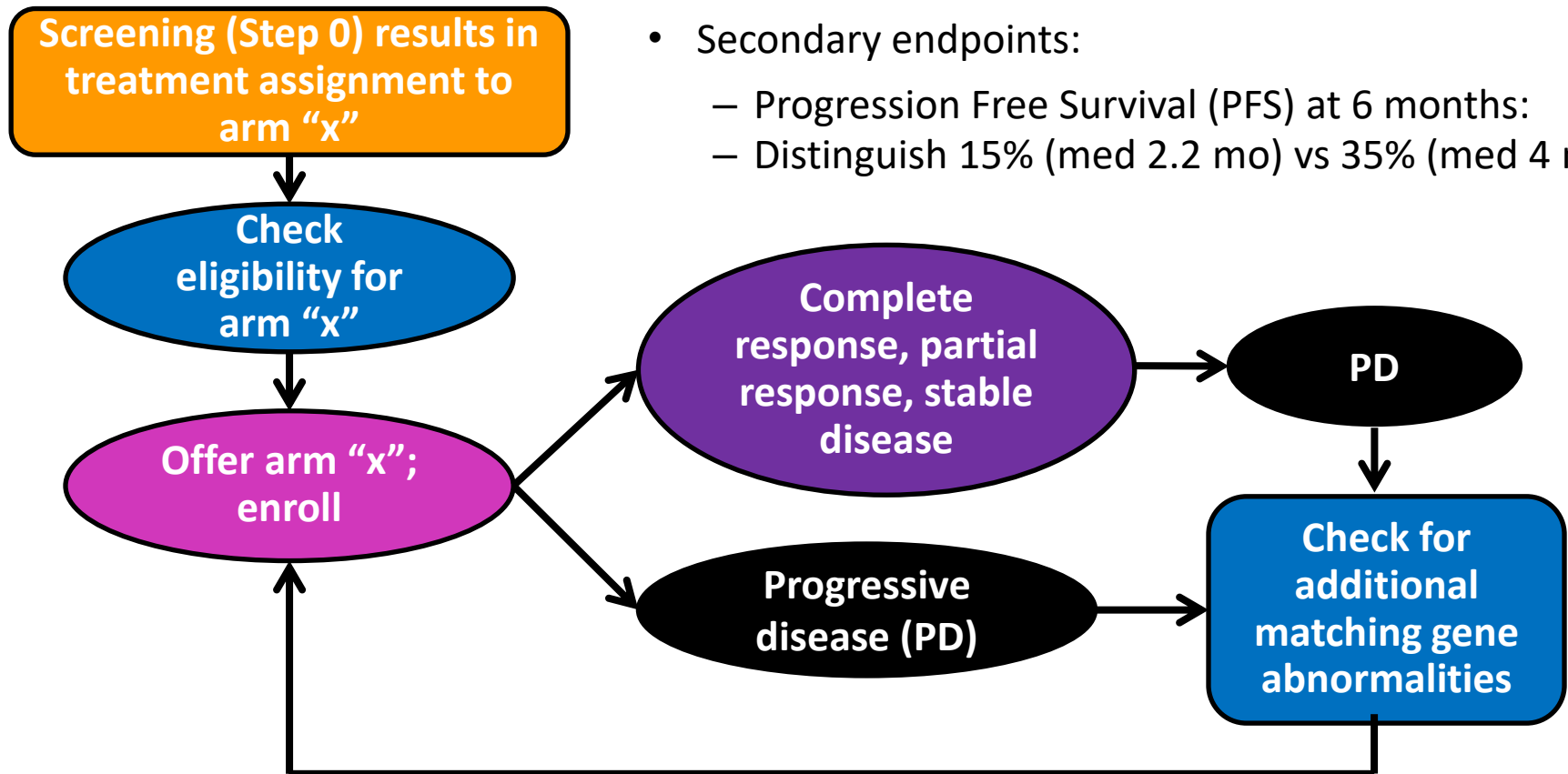
NCI-MATCH trial specific aims

- **Primary:** To evaluate the proportion of patients with objective response (OR) to targeted study agent(s)
- **Secondary:** To evaluate the proportion of patients with PFS \geq 6 months of treatment with targeted study agent(s), time to progression (TTP), toxicity
- **Exploratory:**
 - Frequency of a wide array of actionable mutations in refractory cancer patients, across tumor histologies
 - Plan for whole exome sequencing and RNA sequencing on specimens from patients who enrolled on a treatment arm
 - Potentially, influence of co-mutations and activations of other molecular pathways on response/six-month PFS
 - Tumor mutation burden
 - Potentially, influence of immune response

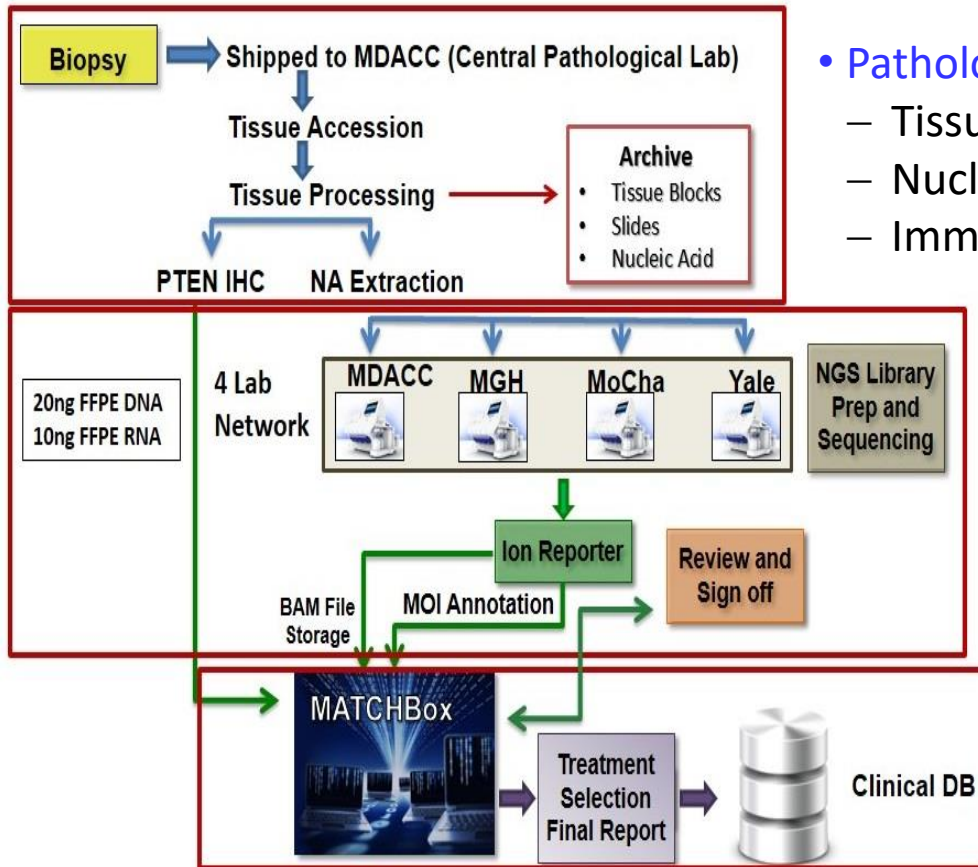
NCI-MATCH design for each treatment arm

(Single-Arm, single stage Phase II)

- N=35 per arm (expect 31 eligible)
- Minimum 8 months follow-up per patient
- Primary endpoint: ORR, $H_0: \leq 5\%$ vs $H_a: 25\%$
 - Reject H_0 if $\geq 5/31$ responses ($\sim 16\%$)
 - Type I error 1.8% / arm (one-sided)
 - Power 92%
- Secondary endpoints:
 - Progression Free Survival (PFS) at 6 months:
 - Distinguish 15% (med 2.2 mo) vs 35% (med 4 mo)



NCI-MATCH assay workflow



• Pathology

- Tissue accession & processing
- Nucleic acid extraction
- Immunohistochemical (IHC) assays (e.g., PTEN)

• Next generation sequencing assay (vers. 1)*

- 4-laboratory network - identical & reproducible methods
- 143 genes
- 2530 amplicons in DNA panel
- 207 amplicons in RNA panel

*Now on assay vers. 3

• Bioinformatic and clinicopathologic data review

- Identify MOIs & aMOIs
- Apply genomic treatment arm assignment rules
- Apply arm-specific clinico-pathologic inclusion & exclusion criteria
- Treatment arm assignment & enrollment (if consent)

MOI = Mutation of Interest

aMOI = actionable MOI (targeting treatment arm available in MATCH)

MATCH bioinformatics: “MATCHBox”

Centrally hosted informatics system* developed for trial

- Enable examination of genomic results along with clinical data in order to make treatment assignments
 - Receive VCF (variant) files uploaded by laboratories
 - Filter variants down to MOIs (mutations of interest in MATCH Oncomine® Cancer Panel & other)
 - Send MOI list back to CLIA laboratory for review and sign-off
 - Identify *tentative* treatment eligibility based on actionable MOIs (aMOIs) and preliminary clinical & pathologic data
 - Apply tiebreaker rules if needed (using LOE for aMOIs & other arm criteria)
- CLIA report of MOIs sent to enrolling clinician
- Final eligibility for selected treatment arm determined
- Enroll patient on treatment arm (if consent)

MATCHBox processing

Variant (vcf) file

```
#CHROM      POS      ID      REF      ALT      QUAL      FILTER      INFO      FORMAT
Mock-rep1-DNAchr1 11184539      .      C      C      <CNV>      100.0      PASS
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MATCHBox Processing

Treatment assignment report

| | |
|------------------------------------|--|
| Patient Sequence Number | 10370 |
| Patient Status | ON_TREATMENT_ARM |
| Patient Step Number | 1 |
| Biopsy Sequence Number | N-15-00005 |
| Molecular Sequence Number | 10370_1000_N-15-00005 |
| Analysis Id | testjob1 |
| Assignment Generation Date | August 4, 2015 4:49 PM GMT |
| Assignment Confirmation Date | August 4, 2015 4:59 PM GMT |
| Assignment Sent to ECOG Date | - |
| Assignment Received from ECOG Date | - |
| Variant Report | Variant Report |
| Patient Concordance | YES |
| Variant Report aMOI(s) | COSM6240, COSM6224, COSM449, COSM1583011, COSM22189, ERBB2, SDC4-ROS1.S4R34.COSF1280 |

Selected Treatment Arm: EAY131-G (2015-08-06)

The patient and treatment arm match on variant identifier [SDC4-ROS1.S4R34.COSF1280]. The variant's level of evidence for the treatment arm is 2. The patient was selected for this treatment arm because it has the highest level of evidence of 2.

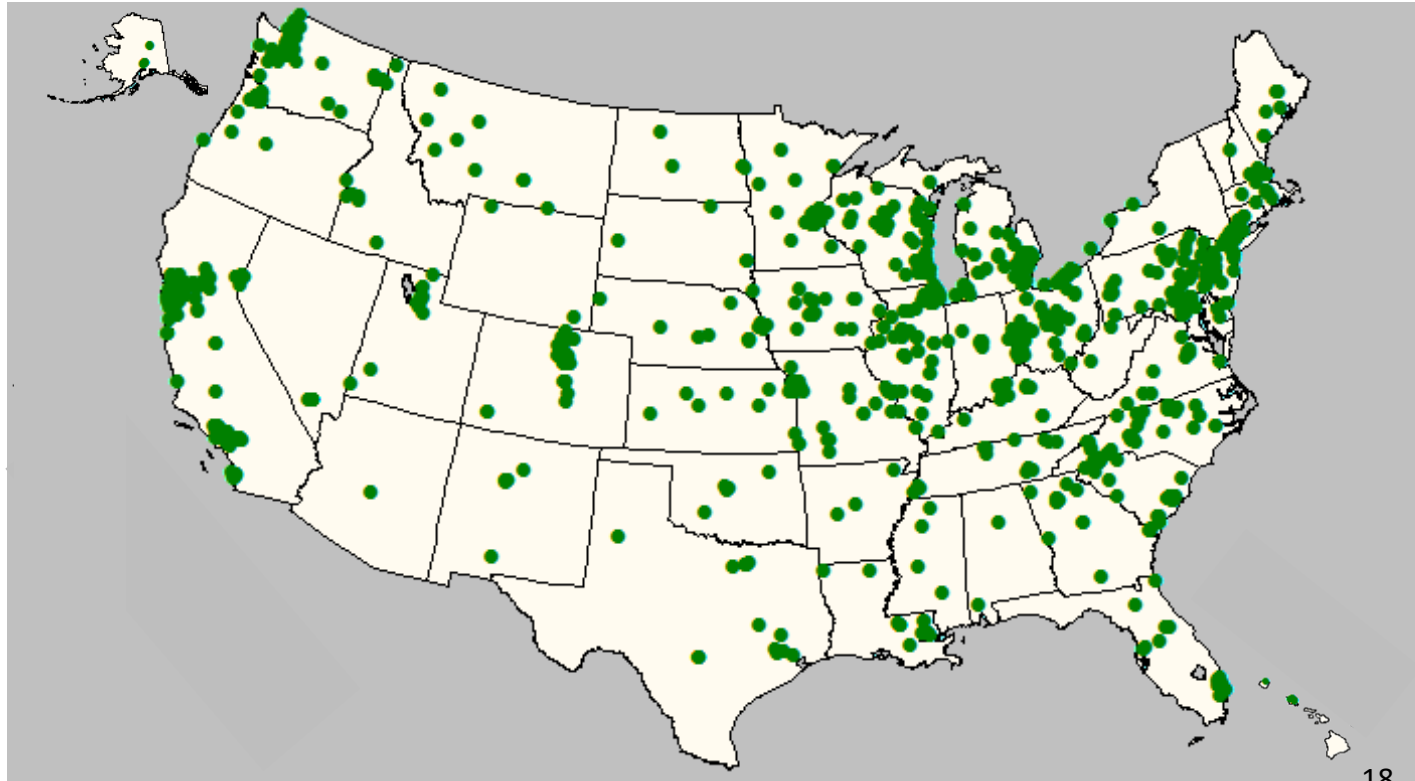
| Treatment Arm | Reason |
|-----------------------------------|--|
| 1 - NO VARIANT MATCH | |
| EAY131-F (2015-08-06) | The patient contains no matching variant. |
| EAY131-V (2015-08-06) | The patient contains no matching variant. |
| 2 - RECORD BASED EXCLUSION | |
| EAY131-Q (2015-08-06) | The patient is excluded from this treatment arm because the patient has disease(s) Adenocarcinoma - esophagus. |
| EAY131-H (2015-08-06) | The patient is excluded from this treatment arm because the patient has taken drug(s) [{"Name": "Dabrafenib Mesylate(Tafinlar) ID: 763760"}]. |
| EAY131-A (2015-08-06) | The patient is excluded from this treatment arm because the patient has taken drug(s) [{"Name": "Afatinib(v[BIBW2992) ID: 750691"}]. |
| EAY131-B (2015-08-06) | The patient is excluded from this treatment arm because the patient has taken drug(s) [{"Name": "Afatinib(v[BIBW2992) ID: 750691"}]. |
| 3 - NOT ELIGIBLE | |
| EAY131-E (2015-08-06) | The patient is excluded from this treatment arm because the patient is not eligible. |
| 4 - LEVEL OF EVIDENCE TIE BREAKER | |
| EAY131-U (2015-08-06) | The patient and treatment arm match on non-hotspot variant [(ID: COSM22189,GENE: NF2,OVA: deleterious,EXON: -,FUNC: -,PROTEIN: -)]. The variant's level of evidence for the treatment arm is 3. The patient was not selected for this treatment arm because its level of evidence is 3 and is lower than the one chosen. |
| EAY131-R (2015-08-06) | The patient and treatment arm match on variant identifier [COSM449]. The variant's level of evidence for the treatment arm is 3. The patient was not selected for this treatment arm because its level of evidence is 3 and is lower than the one chosen. |
| 5 - SELECTED | |
| EAY131-G (2015-08-06) | The patient and treatment arm match on variant identifier [SDC4-ROS1.S4R34.COSF1280]. The variant's level of evidence for the treatment arm is 2. The patient was selected for this treatment arm because it has the highest level of evidence of 2. |

Brief history of NCI-MATCH

| | | |
|-------------|---|--|
| 12 Aug 2015 | Opened with 10 treatment arms; goal to screen 3000 patients | |
| Nov 2015 | Pause in enrollment for pre-planned feasibility assessment at ~500 patients screened; 795 patients screened in 3 months | Accrual reached nearly 150 patients <i>per week</i> ; expected 50 <i>per month</i> ! |
| May 2016 | Reopen with 24 treatment arms and expanded laboratory capacity | |
| Late 2016 | Increased screening goal to 6000 patients; expanded eligibility to include myeloma | |
| March 2017 | Expanded to 30 treatment arms | |
| Spring 2017 | Began transition to referrals from outside laboratories | |
| July 2017 | Completed central screening of ~6000 patients | Maximum accrual reached nearly 2 years ahead of schedule! |
| Present | Continue accrual to “rare variant” arms with referrals from 4 outside laboratories | Approximately 30 outside laboratories have expressed interest to join |

NCI-MATCH success in bringing genomics to the community

- The pace of accrual, and the trial's availability at over 1100 sites, reflects the broad interest in the promise of genomics and the ability of such a trial to deliver on that promise
- At least one patient was screened in every state, the District of Columbia, and Puerto Rico



NCI-MATCH 30 treatment arms

By prevalence rate of gene abnormality

| Arm | Variant Rate % | Prevalence | Drug |
|-----|-----------------|------------|---------------------|
| I | PIK3CA | 3.47 | Taselisib |
| W | FGFR | 2.86 | AZD4547 |
| Z1I | BRCA1 or BRCA2 | 2.79 | AZD1775 |
| P | PTEN loss | 1.93 | GSK2636771 |
| Z1A | NRAS | 1.90 | Binimetinib |
| S1 | NF1 | 1.77 | Mekinist™ |
| N | PTEN | 1.75 | GSK2636771 |
| Z1D | dMMR status | 1.51 | Opdivo® |
| Q | HER2 amplif. | 1.49 | Kadcyla® |
| J | HER2 amplif. | 1.49 | Herceptin® Perjeta® |
| Z1C | CDK4 or CDK6 | 1.36 | Ibrance® |
| M | TSC1 or TSC2 | 1.11 | TAK-228 |
| B | HER2 activating | 1.04 | Gilotrif® |
| Z1B | CCND1/2/3 | 0.84 | Ibrance® |
| R | BRAF fusions | 0.80 | Mekinist™ |

| Arm | Variant Rate % | Prevalence | Drug |
|-----|-----------------|------------|----------------------|
| Y | AKT | 0.77 | AZD5363 |
| H | BRAF V600 E/K | 0.69 | Taflinar® Mekinist™ |
| U | NF2 loss | 0.69 | Defactinib (VS-6063) |
| C2 | MET exon 14 | 0.61 | Xalkori® |
| C1 | MET amplif. | 0.51 | Xalkori® |
| T | SMO/PTCH1 | 0.42 | Erivedge® |
| L | mTOR | 0.31 | TAK-228 |
| S2 | GNAQ/GNA11 | 0.16 | Mekinist™ |
| E | EGFR T790M | 0.11 | AZD9291 |
| V | cKIT | 0.11 | Sutent® |
| Z1E | NTRK | 0.10 | Larotrectinib |
| G | ROS1 | 0.05 | Xalkori® |
| A | EGFR activating | 0.05 | Gilotrif® |
| F | ALK | 0.03 | Xalkori® |
| X | DDR2 | 0.00 | Sprycel® |

Highlighted in yellow are “rare variant” arms (prevalence $\leq 1.5\%$)

NCI-MATCH central screening by cancer type

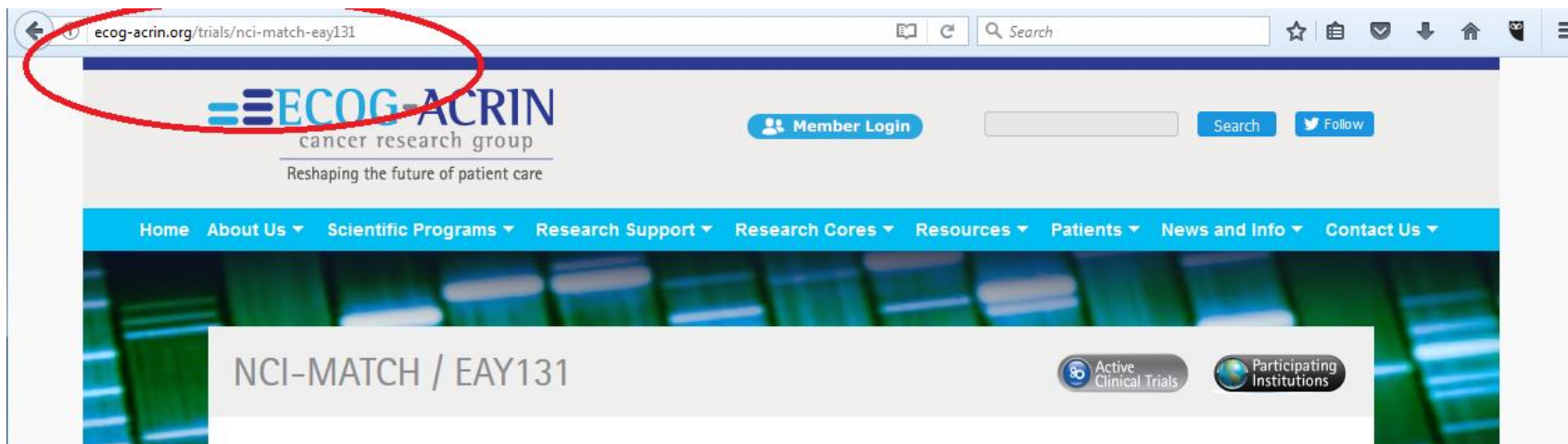
| Less Common Disease Type | % of Total Screened (N=5560) |
|--|------------------------------|
| Ovarian | 9.5 |
| Uterine | 6.2 |
| Pancreas | 6.1 |
| Sarcoma | 4.6 |
| Head and Neck | 3.9 |
| Neuroendocrine | 3.3 |
| Gastroesophageal | 3.2 |
| Cholangiocarcinoma | 2.8 |
| Liver and Hepatobiliary w/o Cholangio. | 1.9 |
| Central Nervous System | 1.7 |
| Bladder/Urinary Tract | 1.6 |
| Cervical | 1.6 |
| Small Cell Lung | 1.4 |
| Melanoma | 1.4 |
| Kidney | 1.2 |
| Anal | 0.8 |
| Mesothelioma | 0.8 |
| Lymphoma | 0.7 |
| Myeloma | 0 |
| Other | 9.7 |
| Less Common Cancers | 62.5% |

| Common Disease Type | % of Total Screened (N=5560) |
|-----------------------|------------------------------|
| Colorectal | 15.3 |
| Breast | 12.4 |
| Non-Small cell lung | 7.3 |
| Prostate | 2.5 |
| Common Cancers | 37.5% |

Coordinated recruitment/referral with **DART**: **D**ual **a**nti-CTLA-4 and anti-PD-1 blockage in **r**are **t**umors trial (S1609). Patients with rare tumors registered to NCI-MATCH but not qualifying for one its subarms (non-match) or who qualified and progressed on matched therapy, were referred to DART.

Goal of 25% FAR EXCEEDED

NCI-MATCH accrual per arm is posted publicly



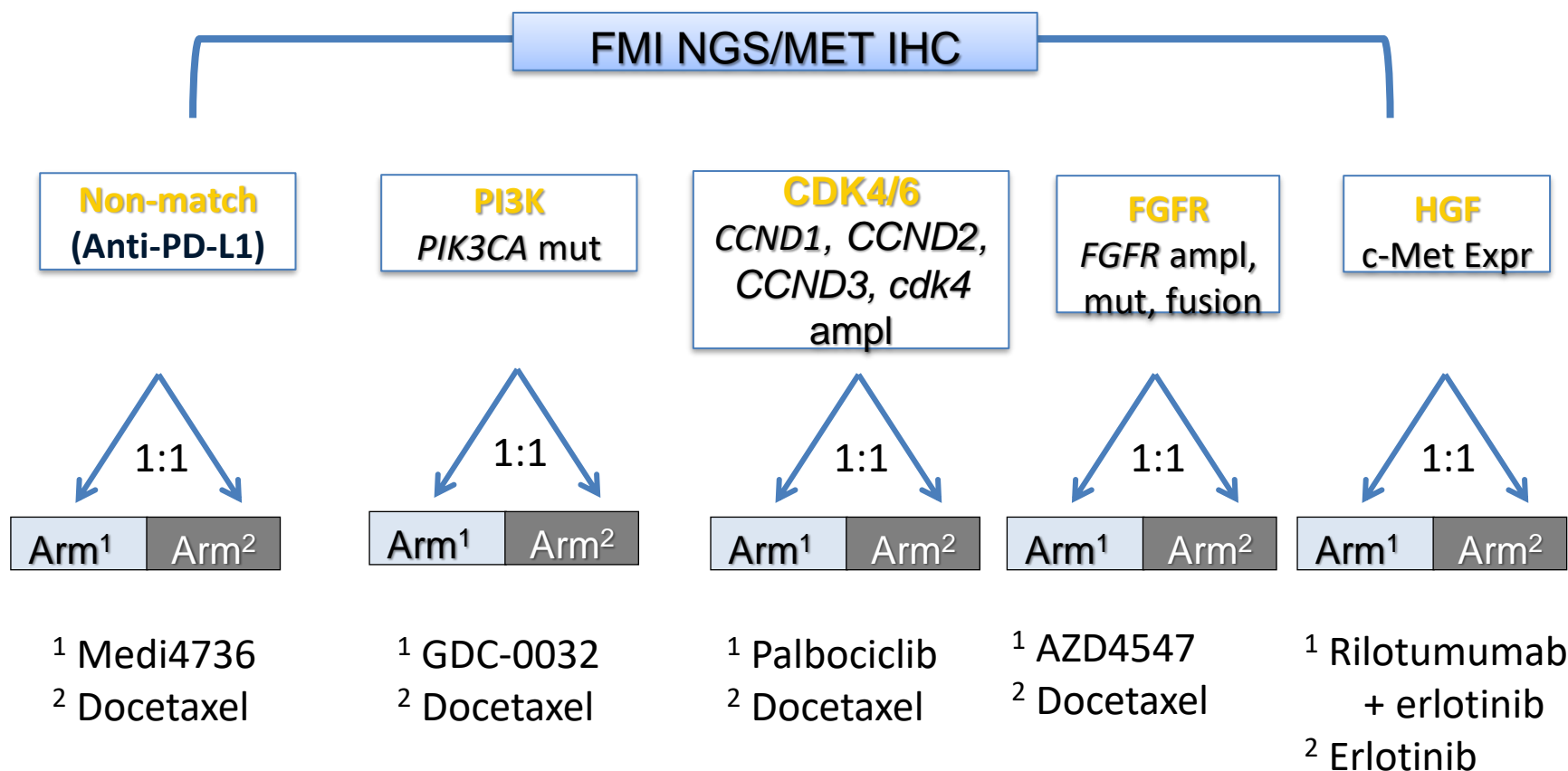
| Study/ID | Description | Accrual Goal (Actual) | Date Activated |
|----------|---|-----------------------|----------------|
| EAY131-H | Tafinlar® (dabrafenib) and Mekinist™(trametinib) BRAF V600E or V600K mutations (0.69% frequency) Disease Exceptions: colorectal cancer, melanoma, thyroid cancer, hepatitis b (HBV) or C (HCV), or history of interstitial lung disease | 35 (25) | 08/12/2015 |

ecog-acrin.org/trials/nci-match-eay131

(also the source of many of the slides in this presentation)

Lung-MAP: Version 1

Lung-MAP (SWOG S1400) is a multi-drug, multi-sub-study, biomarker-driven squamous cell lung cancer clinical trial that uses state-of-the-art genomic profiling (Foundation Medicine) to match patients to sub-studies testing investigational treatments that may target the genomic alterations, or mutations, found to be driving the growth of their cancer. (<https://www.lung-map.org>)

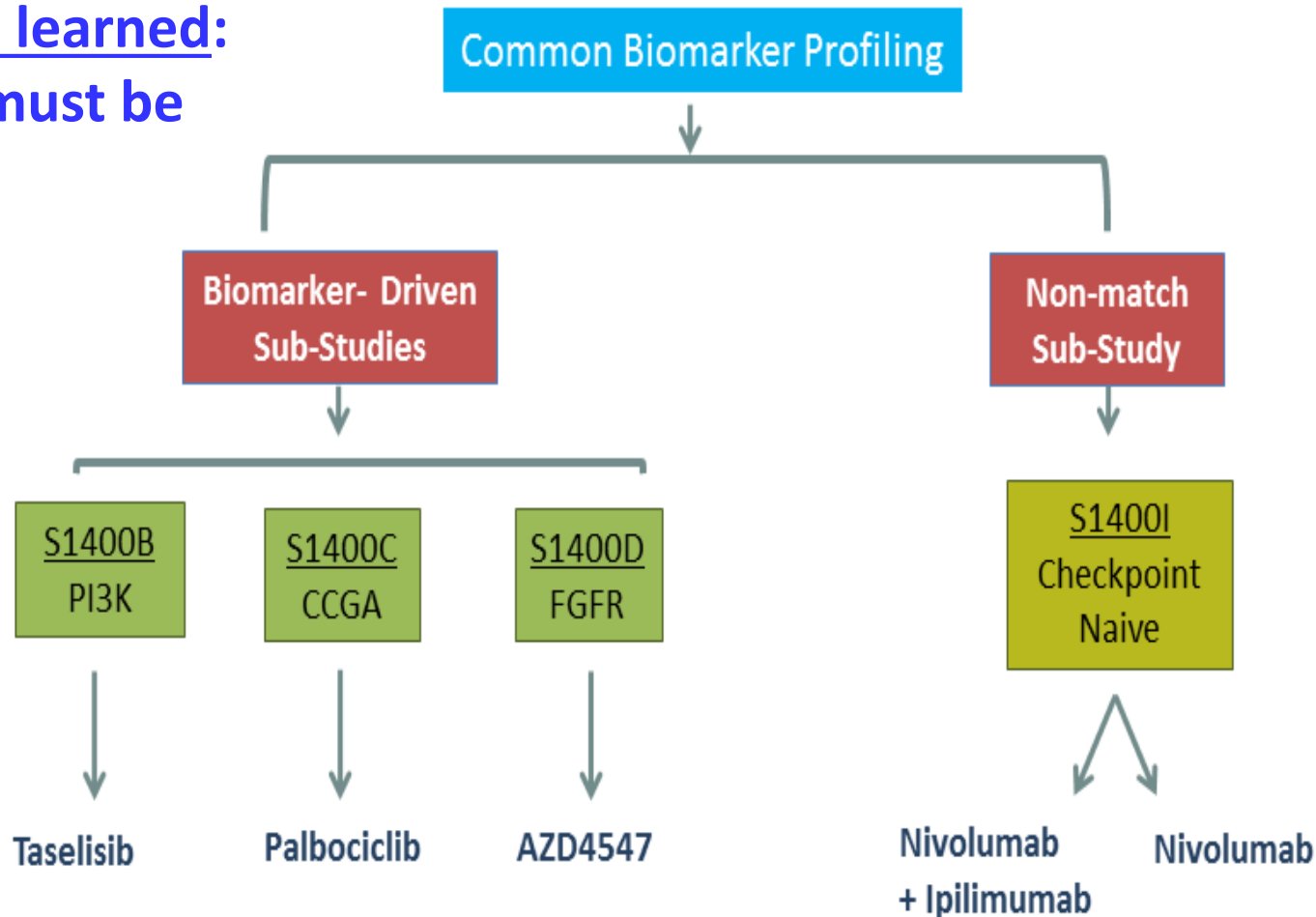


Each sub-study is a randomized phase II/III trial

Lung-MAP TRIAL – Version 2

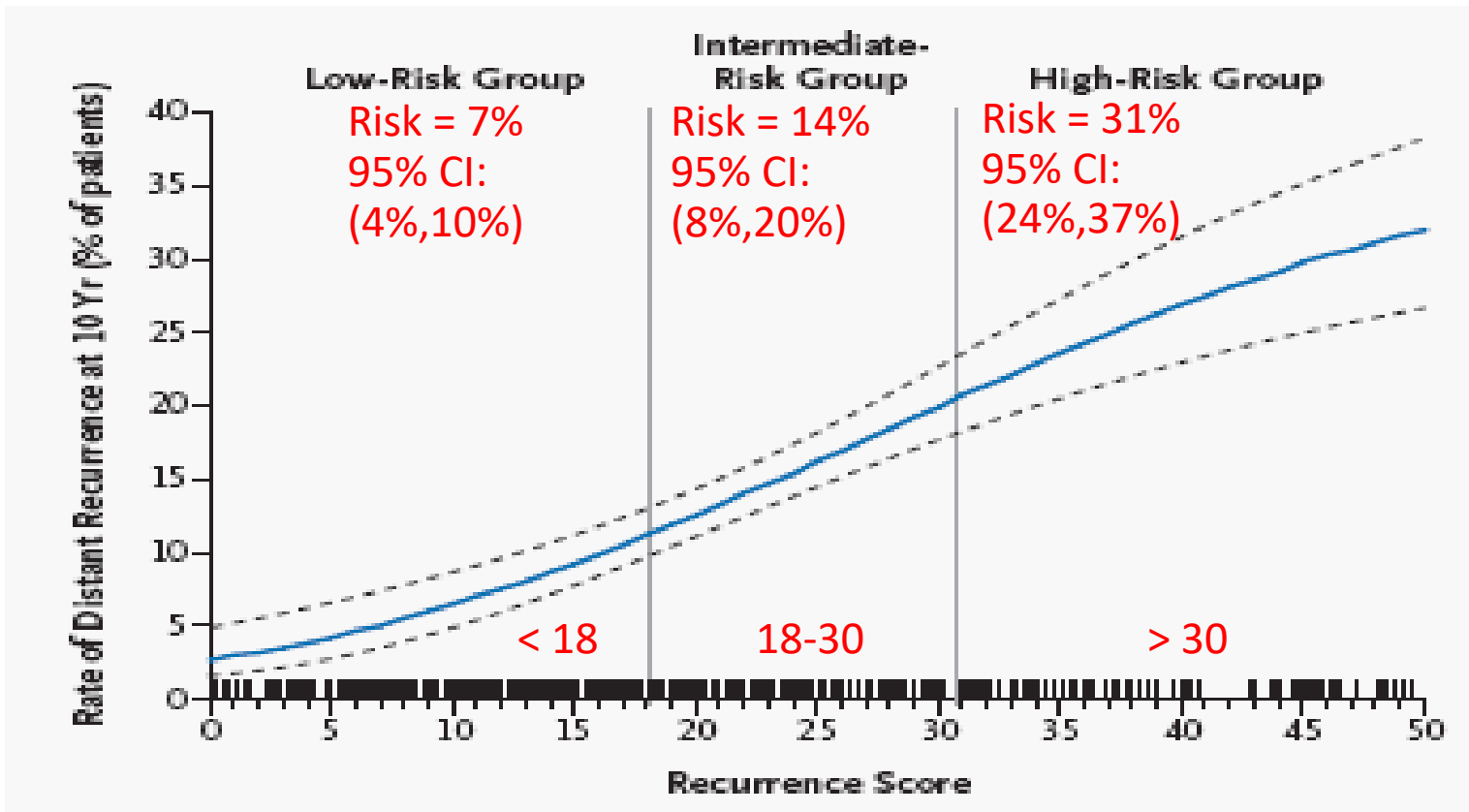
Design change required after approval of nivolumab changed standard of care for advanced squamous NSCLC. Arms are being added as new investigational targeted agents become available for this patient population.

Lesson learned:
Trials must be agile!



Oncotype DX recurrence score (RS) is prognostic in tamoxifen treated ER+ breast cancer

Validation* of RS on NSABP B-14 Tamoxifen Arm



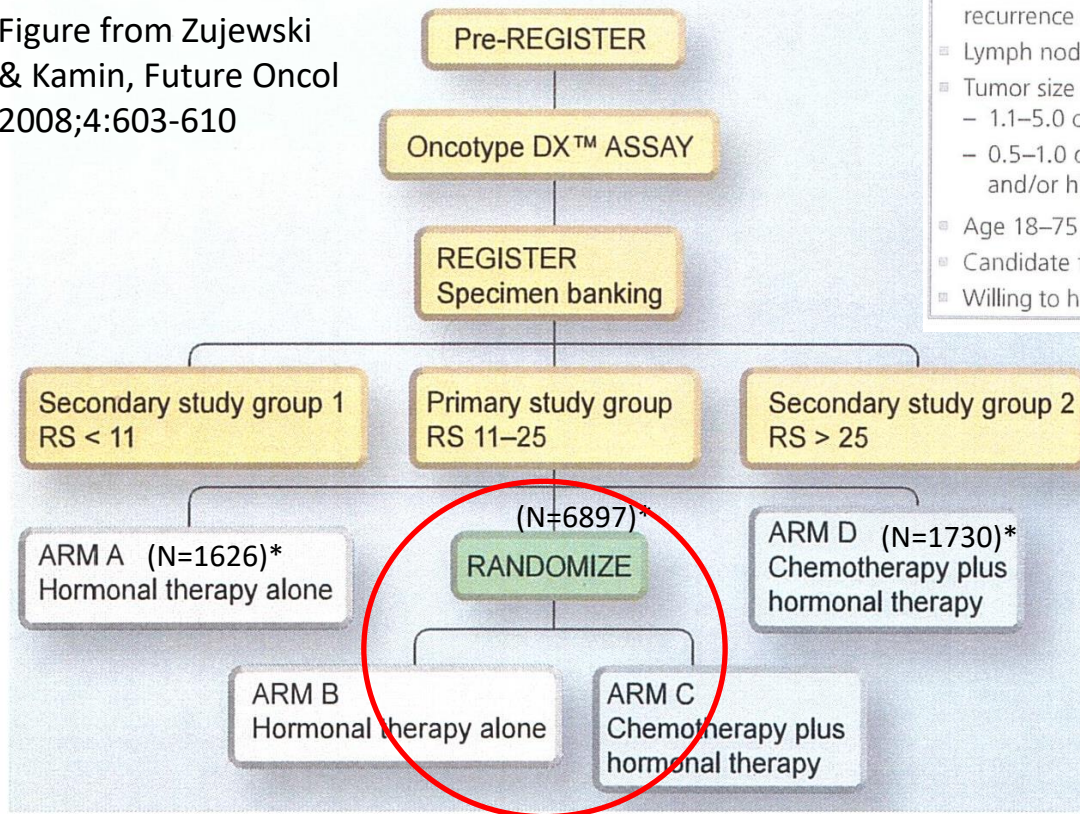
- 21-gene RT-PCR based gene expression assay
- FFPE tissues

Figure 4 from Paik et al., *N Engl J Med* 2004;351:2817-26

*Conducted using stored specimens but following a rigorous prospective-retrospective study design and analysis plan

TAILORx TRIAL

Figure from Zujewski
& Kamin, Future Oncol
2008;4:603-610



Box 1. Patient eligibility criteria.

- Estrogen-receptor- and/or progesterone-positive breast cancer
- Her2/neu negative (re: most Her2-positive disease associated with high recurrence score)
- Lymph node negative (by sentinel node or axillary dissection)
- Tumor size
 - 1.1–5.0 cm
 - 0.5–1.0 cm plus unfavorable histologic features (intermediate or poor nuclear and/or histologic grade, or lymphovascular invasion)
- Age 18–75 years
- Candidate for systemic chemotherapy
- Willing to have treatment assigned or to be randomized based upon Oncotype DX™

Low Risk Group Results

N=1626*

5-year rates (95% CI):

Inv DFS = 93.8% (92.4-94.9)

Free of Dist Rec = 99.3% (98.7-99.6)

Free of any Rec = 98.7% (97.9-99.2)

Overall Surv = 98.0% (97.1-98.6)

The TAILORx trial will establish whether Oncotype DX has clinical utility for selection of which patients with node negative hormone receptor-positive breast cancer benefit from receiving chemotherapy in addition to endocrine therapy (predictive ability).

Awaiting results from randomized patients

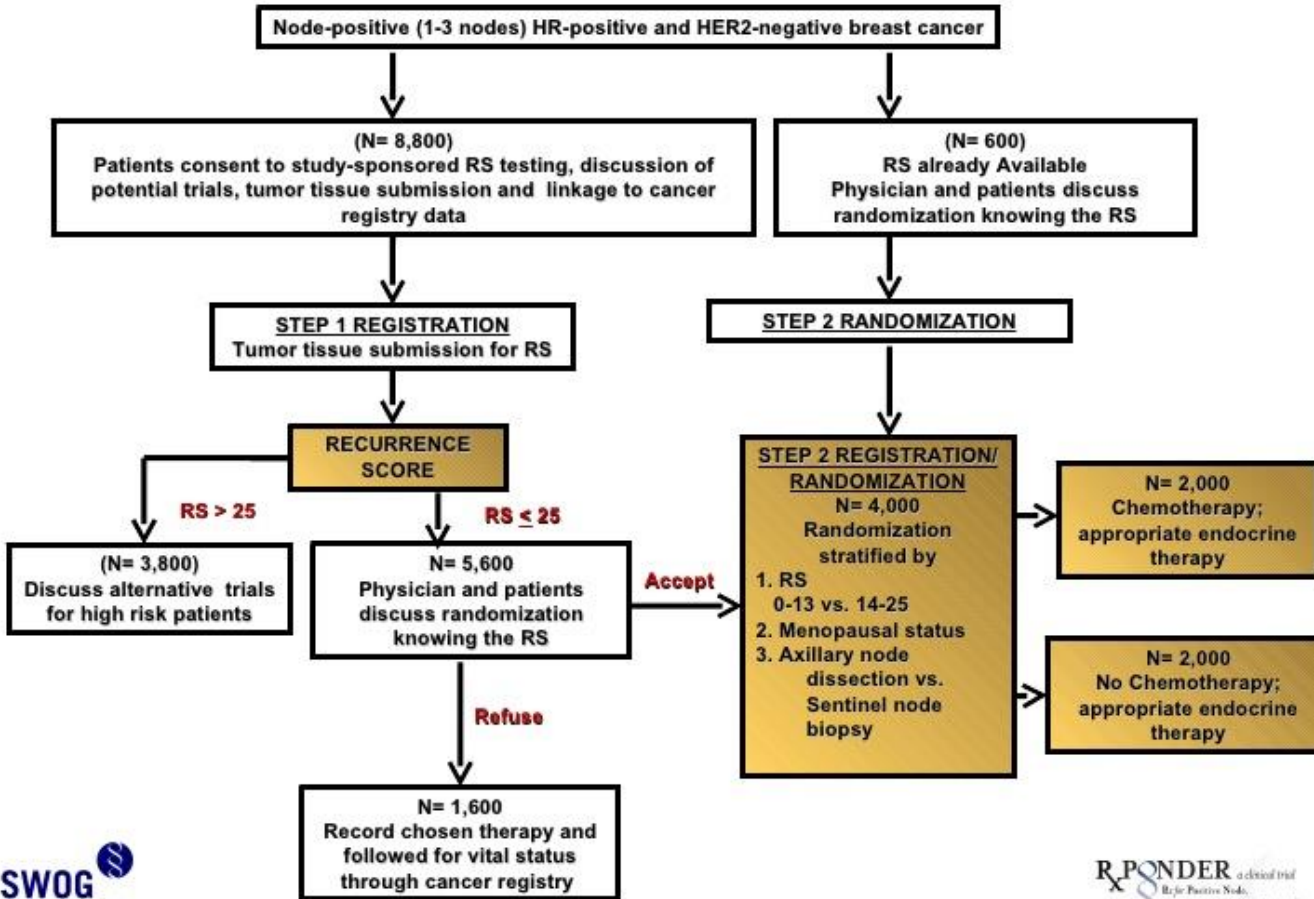
*N = no. eligible of 10253 total eligible

Sparano et al., *N Engl J Med*
2015;373:2005-2014

RxPONDER trial

Evaluation of Oncotype DX RS in patients who have node positive (1-3 nodes), hormone receptor-positive, HER2-neg breast cancer

Schema and Patient Flow



Primary Objective

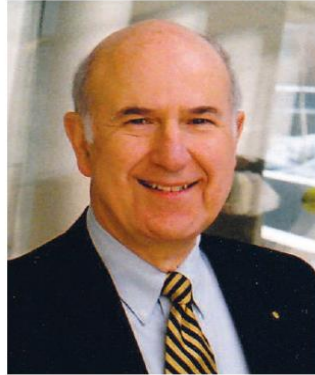
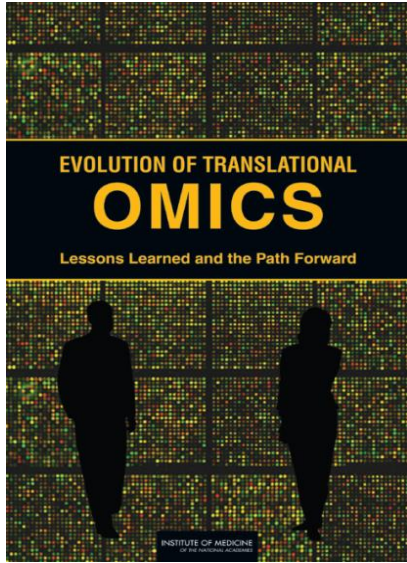
To determine the effect of chemotherapy in patients with node positive breast cancer who do not have high RS by Oncotype DX®.

- Patients with 1-3 positive nodes, and HR+ and HER2-breast cancer with RS ≤ 25
- DFS for patients treated with chemotherapy compared to no chemotherapy and dependence on the magnitude of RS.
- Determine the optimal cutpoint for recommending chemotherapy or not.

> 9000 patients registered

<https://www.swog.org/clinical-trials/s1007>

Cautionary note: Many omics signatures have not been successful



"There are a lot of lessons here that surely apply to other places."

—GILBERT S. OMENN,
UNIVERSITY OF MICHIGAN,
ANN ARBOR



U.S. Institute of Medicine review of field of translational omics:
"There are a lot of lessons here that surely apply to other places."

<http://www.iom.edu/Reports/2012/Evolution-of-Translational-Omics.aspx>

NCI criteria for the use of omics-based predictors in clinical trials

McShane et al., *Nature* 2013;502:317-320 (checklist)

McShane et al., *BMC Medicine* 2013;11:220 (explanation & elaboration)

NCI Exceptional Responders Initiative: Rationale

- Up to 10% of patients respond ‘exceptionally well’ to drugs that do not go on to receive FDA approval for that indication
- Certain agents deemed ‘inactive’ are actually active in a subset of patients
- Specific genomic lesions or patterns of expression might explain these “exceptional responses”
- Identification of these molecular changes could lead to development of predictive assays
- Improved biologic understanding of ‘exceptional response’ may point to new diagnostic/therapeutic avenues

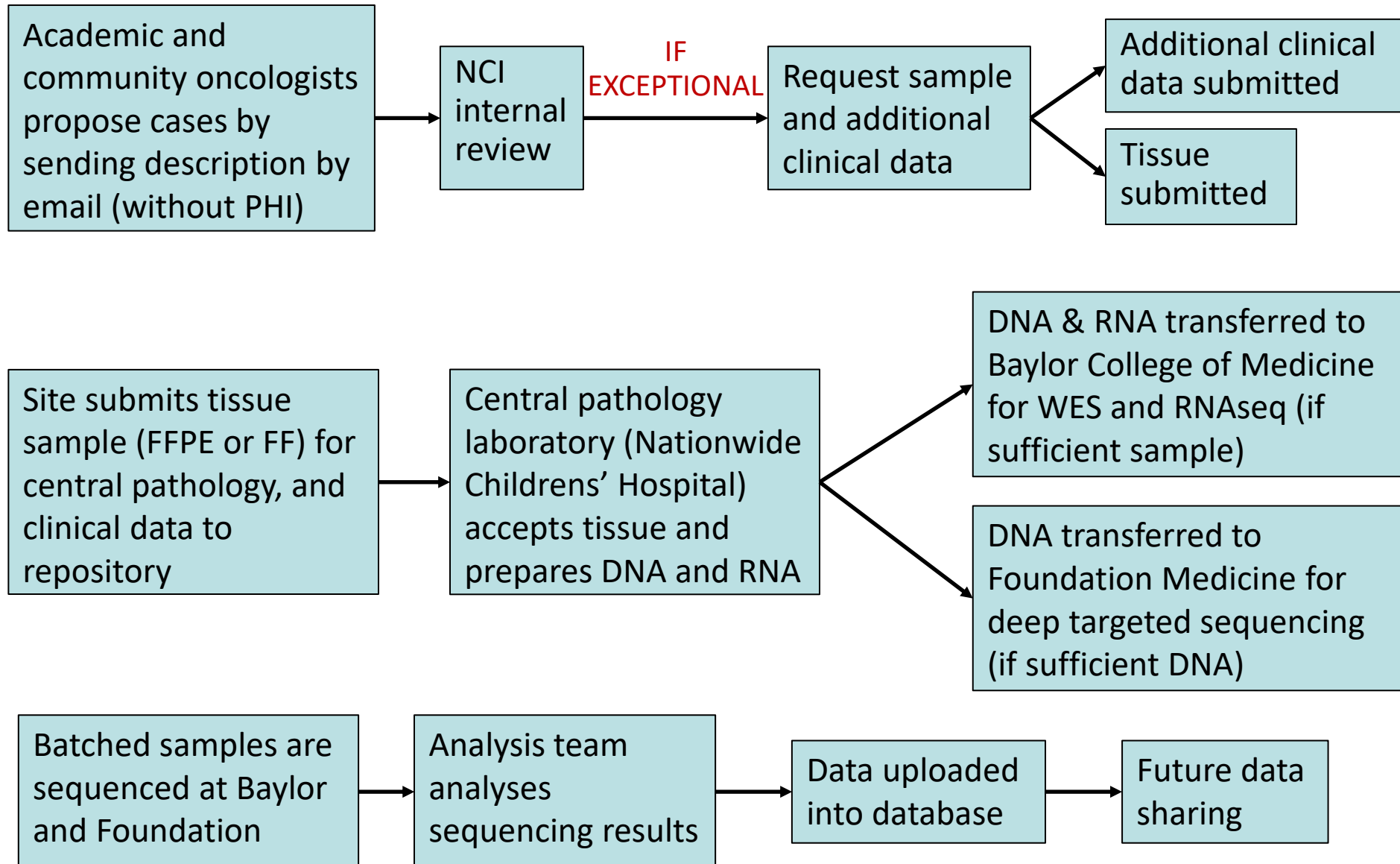
NCI Exceptional Responders Initiative

- Definition of an “exceptional response”
 - Cancer (evaluable for response) showing complete response (CR), or partial response (PR) lasting at least 6 months
 - Drug showed insufficient activity in disease setting to gain regulatory approval
 - Standard therapy not expected to produce CR or PR > 6 months in at least 10% of patients
 - Standard treatment with response lasting 3X longer than the median response duration seen in clinical trials



Search of NCI phase II clinical trial database identified about 100 hundred cases meeting “exceptional response” criteria

NCI Exceptional Responders Initiative workflow



Exceptional Responders status update as of December 2017

Accrual and assay status

| | |
|----------------------------|-----|
| Cases proposed: | 522 |
| Conditionally accepted: | 221 |
| Cases on a clinical trial: | 78 |
| Tumors received: | 108 |

Unexpected findings

- Most patients are living (209 of 221)
- Most cases are 'standard' treatment (not investigational therapy)

NCI Exceptional Responders Initiative

Tumor types

| Tumor type | # of cases |
|----------------------|------------|
| Adnexal Carcinoma | 1 |
| Ampulla of vater | 1 |
| Bladder | 4 |
| Blood | 7 |
| Brain | 13 |
| Breast | 24 |
| Ca unk primary (CUP) | 3 |
| Cervical | 2 |
| Colon | 25 |
| Endometrial | 3 |
| Fallopian tube | 1 |
| Gastroesophageal | 34 |
| Head and neck | 5 |
| Kidney | 2 |
| Leiomyosarcoma | 1 |

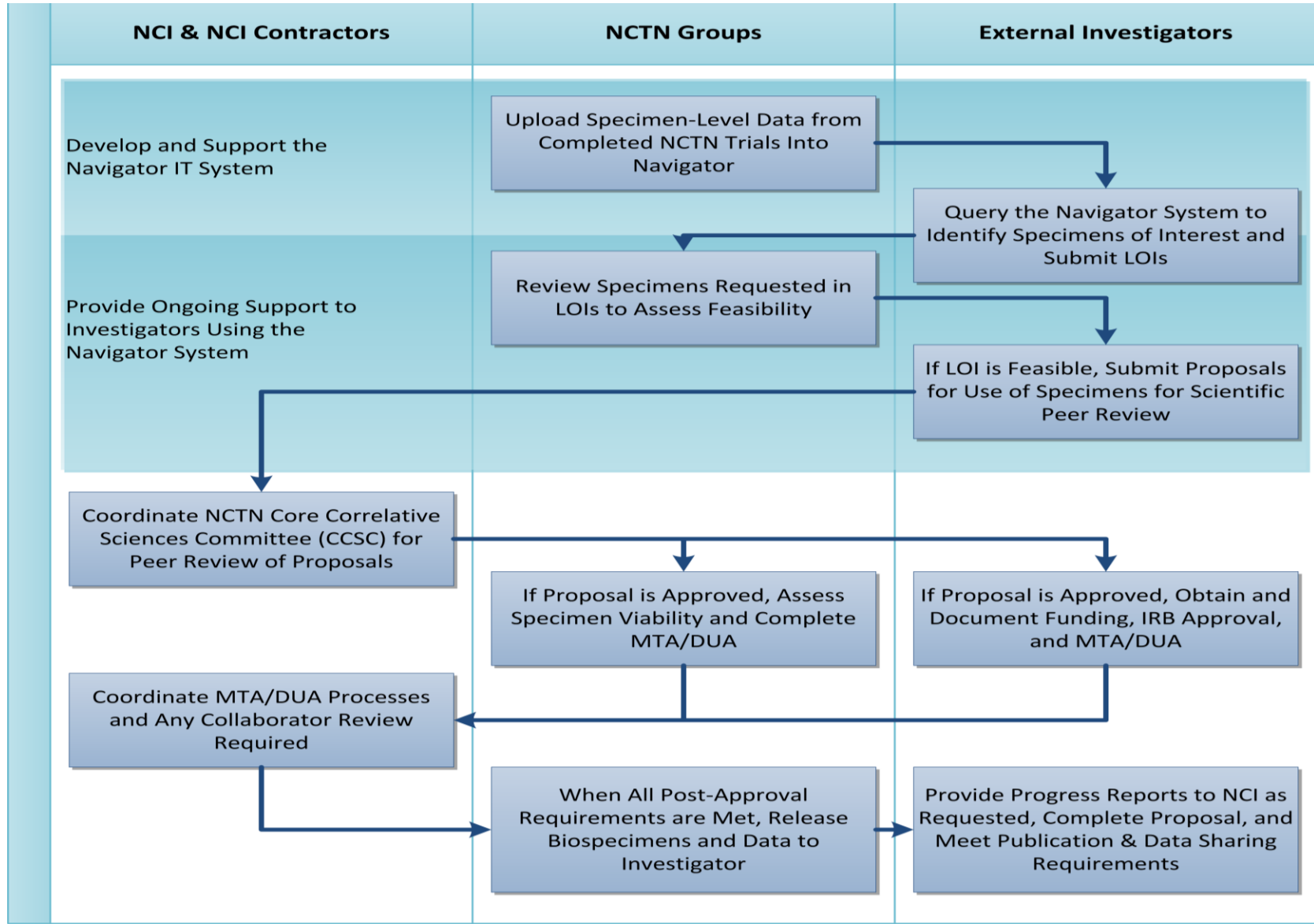
| Tumor type | # of cases |
|----------------|------------|
| Liver | 5 |
| Lung | 32 |
| Melanoma | 8 |
| Mesothelioma | 1 |
| Myeloma | 1 |
| Neuroendocrine | 2 |
| Ovarian | 14 |
| Pancreas | 10 |
| Prostate | 4 |
| Rectal | 1 |
| Renal | 4 |
| Sarcoma | 4 |
| Skin | 2 |
| Thyroid | 2 |
| Uterus | 5 |

Analysis: A few preliminary interesting cases

| Tumor type | Treatment | Response | Duration | Explanatory aberration? |
|---|-------------------------------------|----------|----------|-------------------------|
| Metastatic adenocarcinoma of GE junction | FOLFOX + Herceptin | PR | 26mo | yes |
| Bilateral metastatic breast cancer | Carboplatin + docetaxel + Herceptin | CR | 84mo | yes |
| Metastatic small cell cancer of the colon | Cisplatin + etoposide | CR | 72mo | yes |
| Metastatic melanoma | Ipilimumab | CR | 8 mo | yes |
| Small cell lung cancer | Etoposide + cisplatin | PR | 24mo | yes |
| Merkel cell | Topotecan | PR | 36mo | yes |
| Metastatic NSCLC | Afatinib | CR | 6mo | yes |
| Stage IV colon cancer | FOLFOX + bevacizumab | CR | 42mo | yes |

NCTN Navigator

System for external investigators to query, submit proposals for, & obtain specimens from NCTN trials



NCTN Data Archive (launched Feb. 6, 2017)

Database of data sets from published NCTN trials

- Will prospectively contain individual, de-identified patient-level clinical data from phase III and phase II/III clinical trials conducted by NCI's National Clinical Trials Network (NCTN) and NCI's Community Oncology Research Program (NCORP) (published after Jan. 1, 2015)
 - Legacy trials (those published before January 01, 2015) will be included in the Archive on a case-by-case basis
- Complements other NCI data sharing activities which focus on genomic (NCI Genomic Data Commons; <https://gdc.cancer.gov/>) or imaging data (The Cancer Image Archive; <http://www.cancerimagingarchive.net/>) and specimen sharing
- In future, subsequent publications from trials (e.g., ancillary biology studies) will be included as well.
- Current inventory includes data from 32 trials (13 cancer types)

<http://nctn-data-archive.nci.nih.gov/>

Summary remarks

- Successful development of precision medicine approaches will require
 - Greater sharing of existing and future data and specimens
 - Inter-operative informatics tools
 - Larger and/or more connected and agile clinical trials and data systems
 - Continued development of analytical tools (computational, bioinformatics, and statistical) to optimally extract knowledge from data
- Although the goal of precision medicine is to find therapeutic approaches that produce large benefits in carefully defined (sometime small) groups of patients, major resources will be required to develop these approaches

THANK YOU

for your attention

Thanks to those who contributed graphics or slides:

NCI Exceptional Responders Initiative team

NCI MATCH Trial team

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Ben Kim

Cayden Maican

Grace Mishkin

David Patton

Mary Redman